

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17262

In Re Application Of: **Tetsuya OOTSUKI**

Serial No.
10/714,210

Filing Date
Nov. 14, 2003

Examiner
Unassigned

Group Art Unit
Unassigned

Title: **SEMICONDUCTOR MEMORY DEVICE ADAPTIVE FOR USE CIRCUMSTANCE**



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
 - the statement specified in 37 CFR 1.97(e);
OR
 the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17262

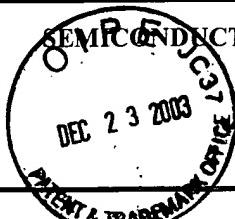
In Re Application: Tetsuya OOTSUKI

Serial No.
10/714,210

Filing Date
Nov. 14, 2003

Examiner
Unassigned

Group Art Unit
Unassigned



SEMICONDUCTOR MEMORY DEVICE ADAPTIVE FOR USE CIRCUMSTANCE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- Charge the amount of _____
- Credit any overpayment.
- Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on Dec. 19, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Paul J. Esatto, Jr.

Typed or Printed Name

*This certificate may only be used if paying by deposit account.

Signature

Dated: DECEMBER 19, 2003

Paul J. Esatto, Jr., Reg. No. 30,749
SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, NY 11530
(516) 742-4343

PJE:ahs

cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tetsuya OOTSUKI

Docket: 17262

Serial No: 10/714,210

Art Unit: Unassigned

Filed: Nov. 14, 2003

Dated: Dec. 19, 2003

For: **SEMICONDUCTOR MEMORY
DEVICE ADAPTIVE FOR USE CIRCUMSTANCE**

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

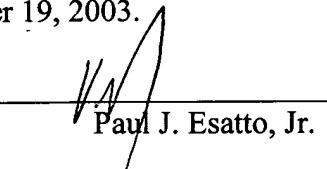
In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid Open Patent Application No. 2000-40363, dated February 8, 2000;
2. Japanese Laid Open Patent Application No. 2000-132966, dated May 12, 2000;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 19, 2003.

Dated: December 19, 2003


Paul J. Esatto, Jr.

3. Japanese Laid Open Patent Application No. 2002-25255, dated January 25, 2002;
 4. Japanese Laid Open Patent Application No. 2000-268565, dated September 29, 2000;
 5. Japanese Laid Open Patent Application No. 2001-93280, dated April 6, 2001; and
 6. Japanese Laid Open Patent Application No. 10-504129, dated April 14, 1998.
- Applicant is submitting copies of the above-cited references. The relevance of the above-identified references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Paul J. Esatto, Jr.
Registration No.: 30,749

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

PJE:ahs:ae

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.